

# How Document Properties Affect Document Relatedness Measures

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# Introduction

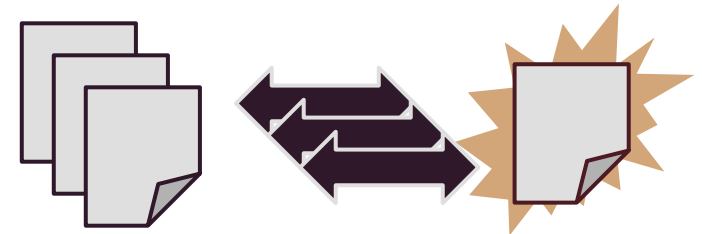
## Document Relatedness

- Measurement of similarity...
- Between documents



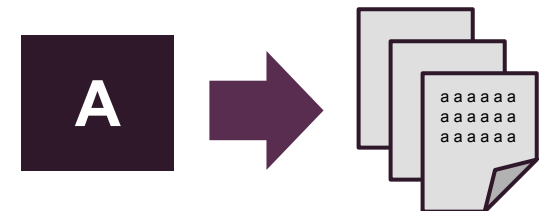
## Applications: Document...

- Retrieval
- Clustering
- Classification
- Summarization



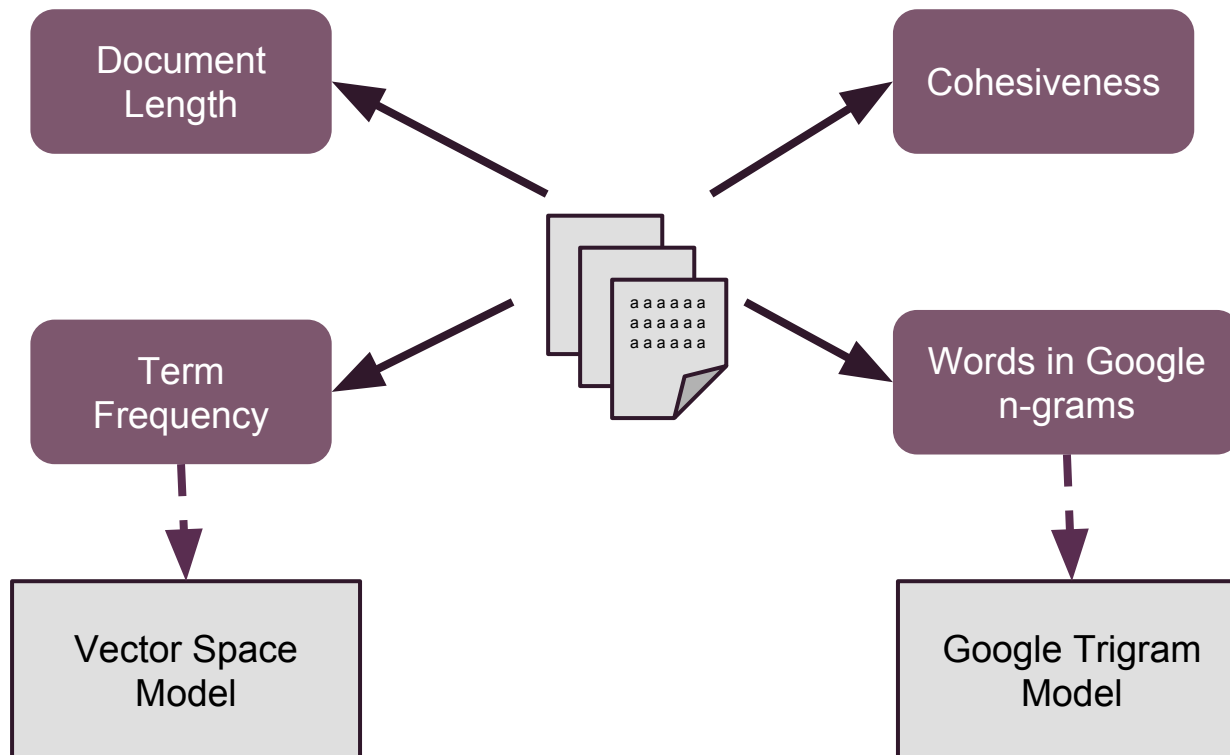
## Unsupervised approaches

- Lack of training set requirement
- Performance depending on corpus



# Motivation

Performance of a document relatedness approach depends on document properties -- found in the dataset being tested.




# Contributions

## General contributions:

- Presentation of different evaluations of document relatedness approaches on different datasets

selected based on their properties



- Evaluations based on intrinsic similarity of classes

kNN-classification



## From experimental results:

- Evidence that different properties of documents yield better results in different approaches

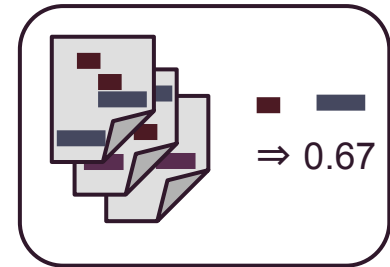
Vector Space Model &  
Google Trigram Model



# Related Work

## Unsupervised Corpus-based Approaches:

- Document Similarity Approaches
  - May use word similarity in back-end
- Word Similarity Approaches
  - Co-occurrence statistics
  - Corpus: web, dataset



## Comparisons of Different Approaches:

- Comparison of unsupervised corpus-based measures
  - Over human ratings, synonym tests
  - Measured: correlation, #correct synonyms
  - Text similarity with diff. word-relatedness approach

### Original Categorized Datasets



### Prepared Documents



Google  
trigram  
Model

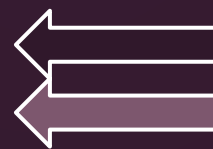
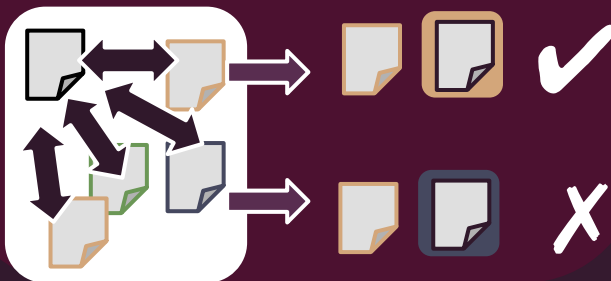
Vector  
Space  
Model

Document  
Relatedness  
Approaches



Document  
Similarity  
Scores

### kNN-classification Evaluation



# Methodology

## Original Categorized Datasets



## Prepared Documents



Google  
trigram  
Model

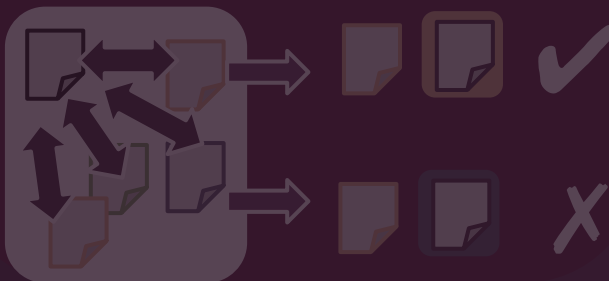
Vector  
Space  
Model

Document  
Relatedness  
Approaches



Document  
Similarity  
Scores

## kNN-classification Evaluation

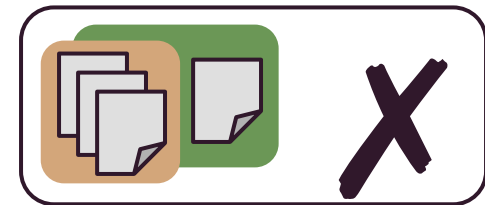


# Datasets

# Datasets

## Document Relatedness defined:

- Document
- 1 category



## Document Cleaning:

- Transform to lowercase, [^a-z] removal

Profits in poultry Useful and ornamental breeds and their profitable management



profits in poultry useful and ornamental breeds and their profitable management

- Remove of 500+ English stop words

profits in poultry useful and ornamental breeds and their profitable management



profits poultry ornamental breeds profitable management



# Datasets: ASRS

## Aviation Safety Reporting System (ASRS)

- From SIAM 2007 Text Mining competition
- 22 categories total, mult-category assignment
- Over 4000 different words were concatenated together

⇒ **Selection:** 399 documents

⇒ **Document:** A single ASRS report

⇒ **Category:** Report's assigned category (4)

⇒ **Example:**

receive predepartureclearance AND setup WRONG  
depart ON flightmanagementsystem.NO aircraft conflict  
AND airtrafficcontrol indicate NO problem.

**TEXT  
MINING  
2007**

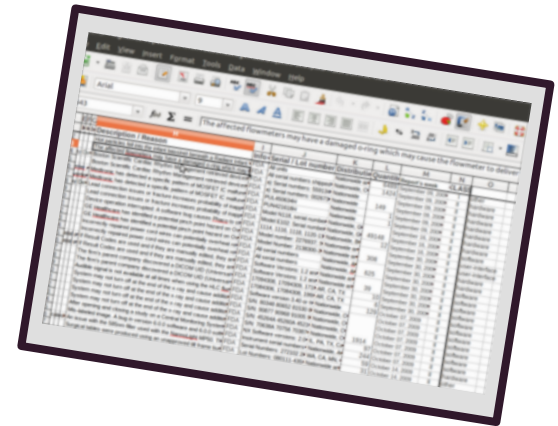
# Datasets: Med

## Vigilance Report List (Med)

- Description for issues with medical equipment
- Provides reason for malfunction & subsequent categorization

- ⇒ **Selection:** 659 rows (367 unique)
- ⇒ **Document:** Description / Reason
- ⇒ **Category:** Categorization (2)
- ⇒ **Example:**

Incorrect value calculations by the device may result in inaccurate aortic stenosis estimates



Issue ID	Description	Reason	Categorization
1	Incorrect value calculations by the device may result in inaccurate aortic stenosis estimates		
2			
3			
4			
5			
6			
7			
8			
9			
10			

# Datasets: BHL

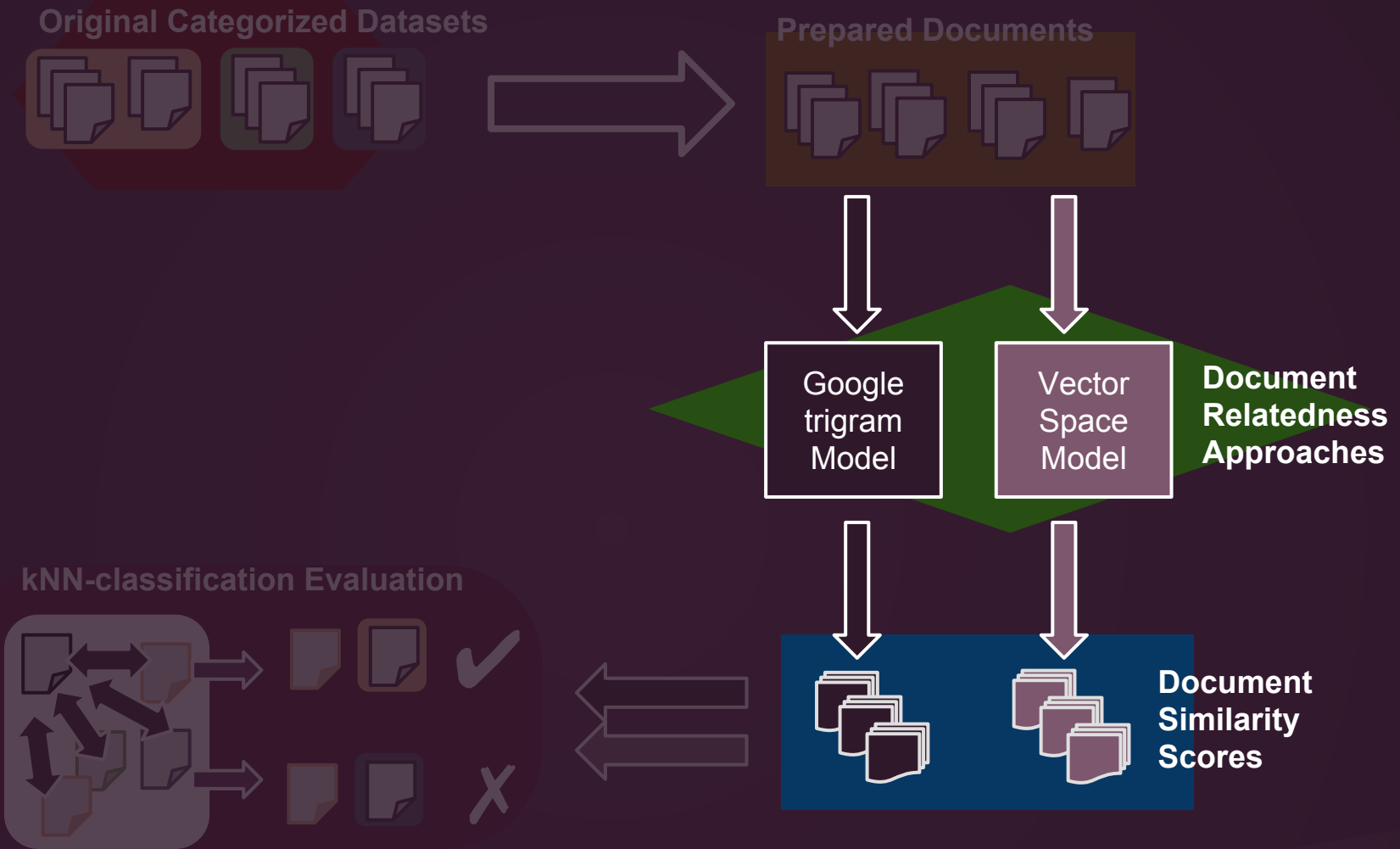
## Biodiversity Heritage Library (BHL)

- Biodiversity literature: pages, titles, subjects, authors
- Book text is Optical Character Recognition generated:

	<b>Titles</b>	<b>Intro</b>
⇒ <b>Selections:</b>	1152,	338
⇒ <b>Document:</b>	title,	contents' table, intro, preface
⇒ <b>Category:</b>	(4) subjects,	(5) subjects
⇒ <b>Examples:</b>		

TABLE 6. SPECTROSCOPIC STANDARD OF CAROTIN AND XANTHOPHYLLIS. (FROM THE CARROT.) It will be noticed that the relative position of the bands of car- otin and xanthophylls is more [...]

The vineyards  
of the world.

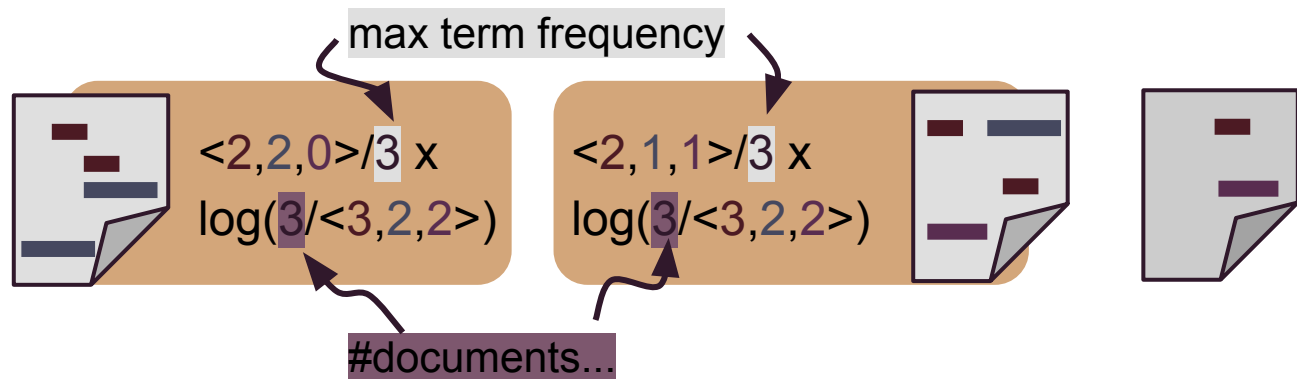


# Unsupervised Corpus-based Approaches to Document Relatedness

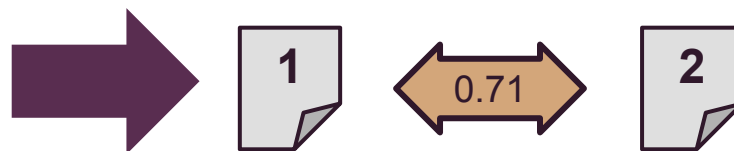
# Approaches: VSM

## Vector Space Model (VSM):

- Each document: vector with weights for each word
- Each weight: term-freq inverse doc-freq (TFIDF):



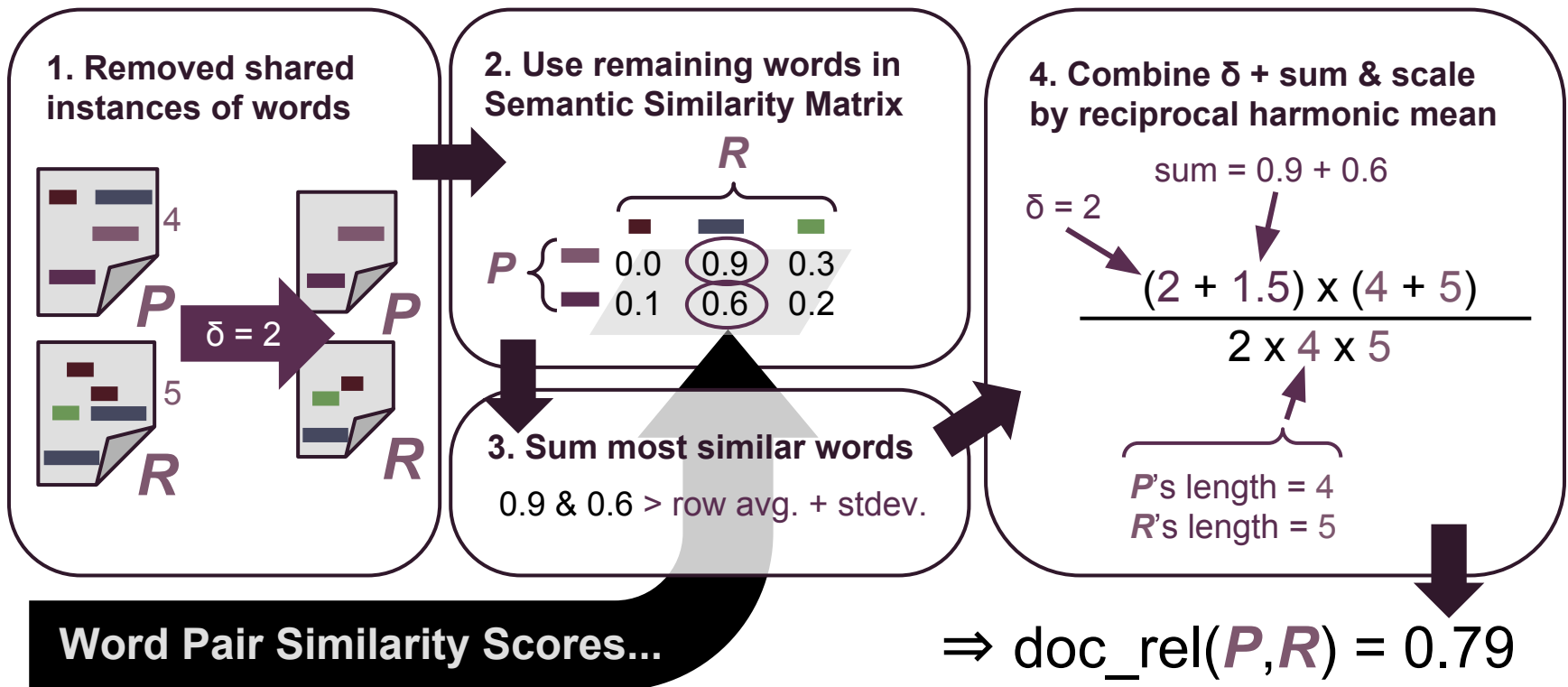
- Document relatedness: calculate cosine similarity



# Approaches: GTM

## Google Trigram Model (GTM):

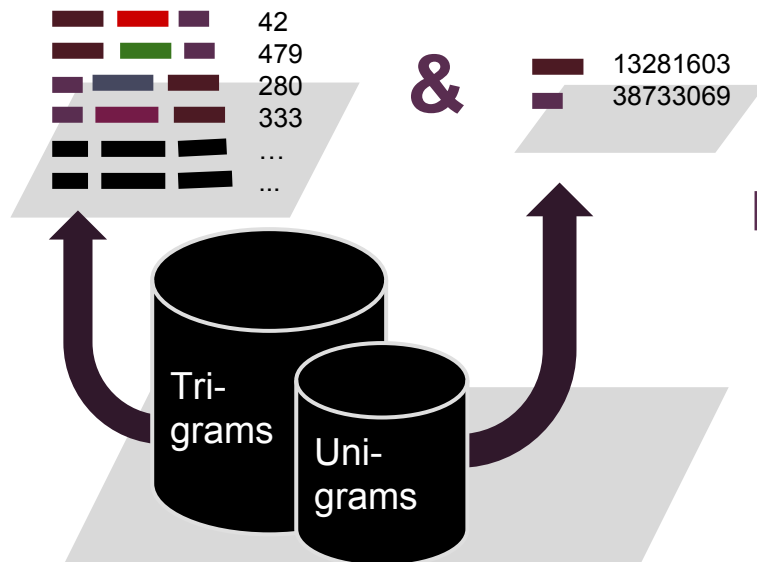
- Document Relatedness: Use the shorter document's words & the longer document's most similar words



# Approaches: GTM

## GTM- Word Similarity:

- Using Google trigrams & unigrams to calculate individual word similarity for word pairs



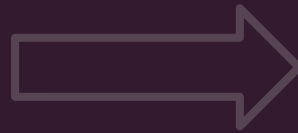
1. Find all trigrams that begin & end with pair
2. Normalize mean frequency

$$\Rightarrow \text{word\_sim}(\text{---}, \text{---}) = 0.52$$

Google Web IT English word frequencies  
n-gram corpus from web pages

Use of the GTM is available:  
<http://ares.research.cs.dal.ca/gtm/>

Original Categorized Datasets



Prepared Documents



Google  
trigram  
Model

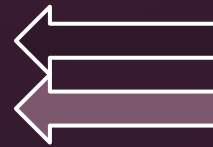
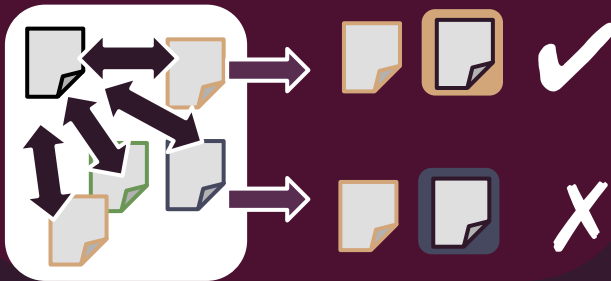
Vector  
Space  
Model

Document  
Relatedness  
Approaches



Document  
Similarity  
Scores

kNN-classification Evaluation



# Evaluation: kNN-classification



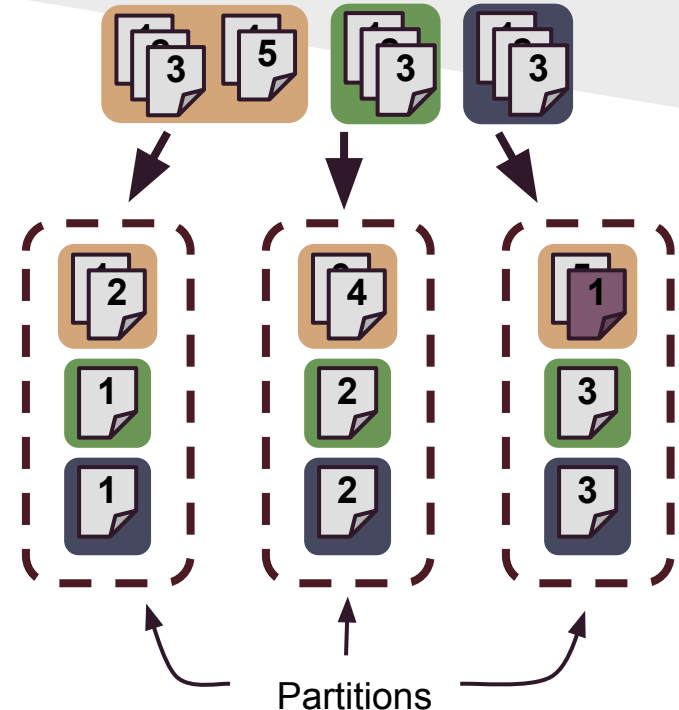
# Evaluation: Setup

## Representative Division:

Testing requires **30** different rand. generated partitioning

- 10-fold cross-validation
- Ea. partition = representative sample, some **overlap**

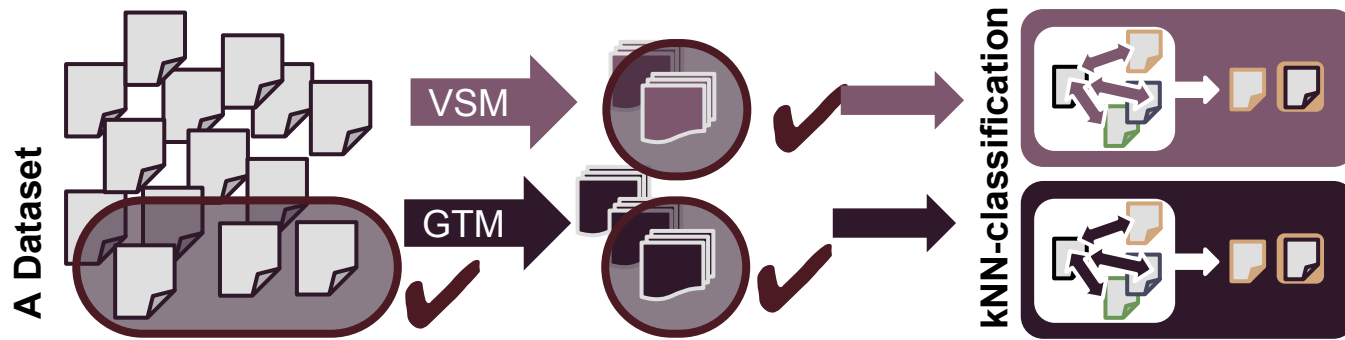
Ignored if neighbours



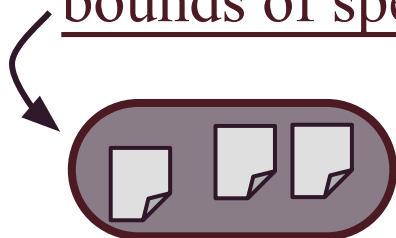
- Consider different  $k$  from  $[1, \sqrt{\# \text{ testing set documents}}]$
- Select  $k$  where mean accuracy is highest  $\rightarrow$  accuracy

# kNN-Classification

## Dividing Dataset Similarity Scores



- Scores generated from documents within ea. dataset
- Run both evaluations on only the documents that fit between bounds of specified attribute



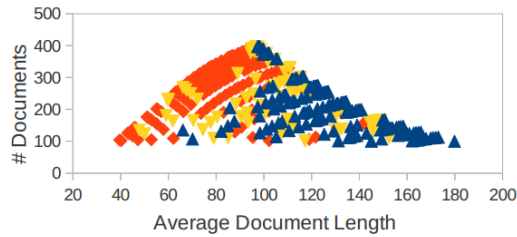
Document Length

Term Frequency

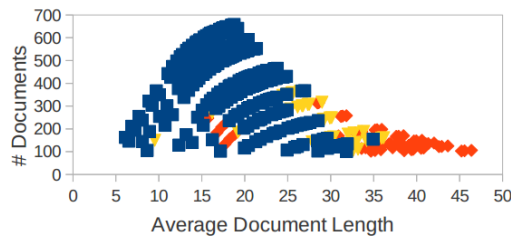
Cohesiveness

Results : **GTM > VSM** & **VSM > GTM**

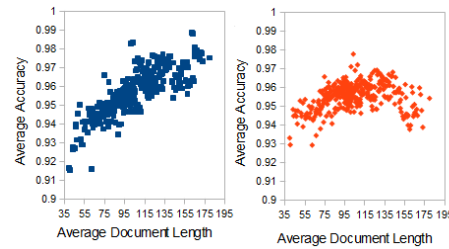
ASRS



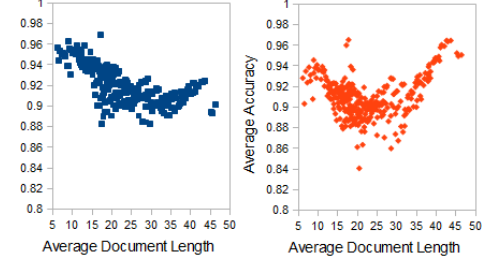
Med



Results : **GTM** & **VSM**



ASRS



Med

➤ Passed threshold → accuracies were higher for the other approach

## ASRS

- VSM: shorter / longer documents: too few / too many words
- GTM: accuracy has a moderately a strong linear relationship

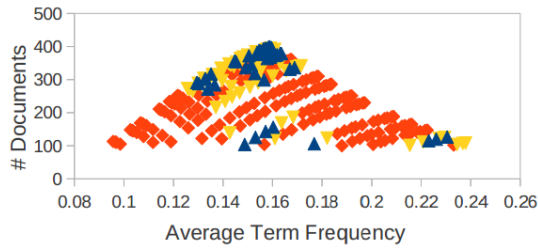
## Med

- At higher & lower bounds, similar documents helped accuracy

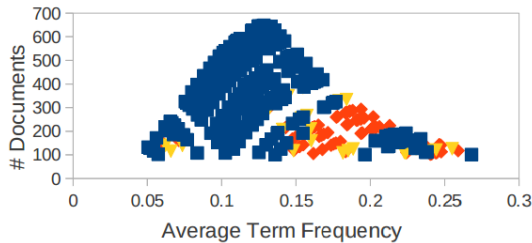
*Comparison of Trigram & VSM Approaches:  
based on document length*

Results : **GTM > VSM** & **VSM > GTM**

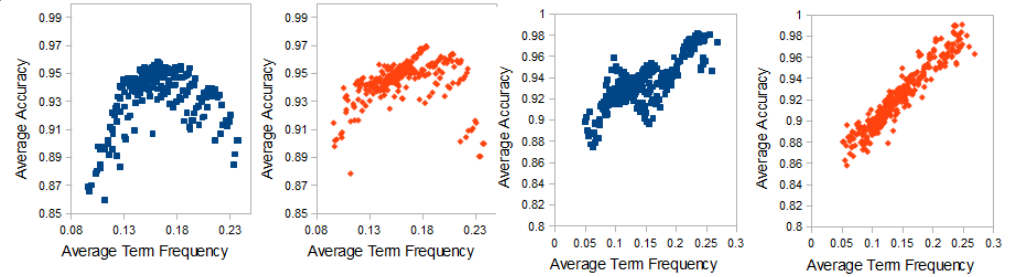
ASRS



Med



Results : **GTM** & **VSM**



ASRS

Med

➤ Generally one approach yielded significantly better results

## ASRS

- At higher term frequencies → worst results -- likely because ASRS contained more common terms than other datasets

## Med

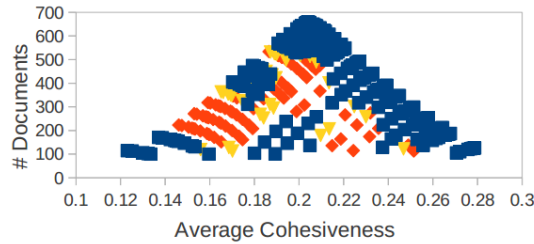
- Higher term frequency → higher accuracies, similar to BHLs

*Comparison of Trigram & VSM Approaches:  
based on term frequency*

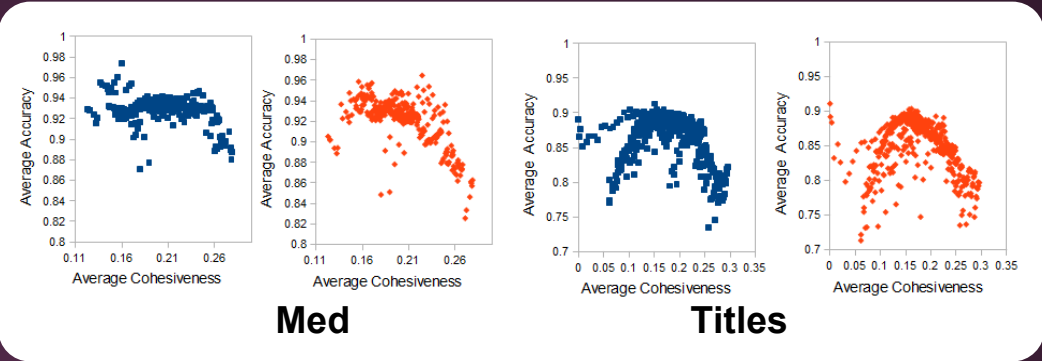
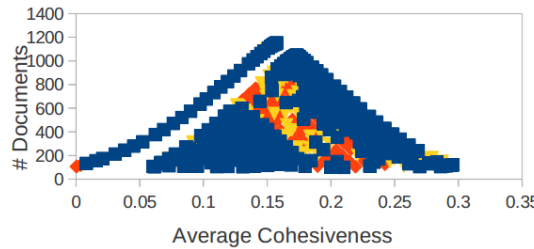
Results : **GTM > VSM** & **VSM > GTM**

Results : **GTM** & **VSM**

Med



Titles



➤ Generally one approach yielded significantly results, again

## Med & Titles

- Cohesiveness played a larger role on these smaller documents

## In general...

- Higher cohesion  $\simeq$  shorter documents = harder to classify
- More refinement of this measure is needed

*Comparison of Trigram & VSM Approaches:  
based on cohesiveness*

# Limitations

## **Data limitations:**

- Limited to a 3-5 different dataset (important in result)
- Data length (affect program running speed)
- Data category limitation (2-5), single category assumed
- Data size (300-1000)

## **Evaluation Limitations:**

- Truth based on categories, not similarities
- Observance of correlation with document attributes
- Little regard for actual values of f-measure/accuracy
- Limited attributes, single attribute results

# Conclusions

## **Experimental Results:**

- Presented findings of how one approach significantly does better depending on:
  - Genre (dataset source)
  - Document length
  - Term frequency
  - Cohesiveness

# Future Work

## **Overcoming Limitations:**

- Investigating more documents
  - More categories, different types, different lengths

## **Refining observation causation:**

- Finding impact of document attributes on results...



# References

- [1] Islam, Aminul, Evangelos E. Milios, and Keselj Vlado. "Comparing Word Relatedness Measures Based on Google N-grams." *COLING (Posters)* (2012): 495-506. Web. 7 May 2013. <<https://web.cs.dal.ca/~eem/cvWeb/pubs/2012-Aminul-Coling.pdf>>.
- [2] Oza, Nikunj. "SIAM 2007 Text Mining Competition Dataset." *DASHlink*. NASA, 22 Sept. 2010. Web. 31 May 2013.
- [3] "Biodiversity Heritage Library." *Biodiversity Heritage Library*. N.p., n.d. Web. 07 Aug. 2013.
- [4] Inkpen, Diana. "Solution to the Example." *CSI4107: Information Retrieval and the Internet*. UOttawa, 13 Jan. 2013. Web. 30 June 2013. <<http://www.site.uottawa.ca/~diana/csi4107/>>.
- [5] Soboroff, Ian. "IR Models: The Vector Space Model." *Information Retrieval*. UMBC, 1 Oct. 2002. Web. 7 Aug. 2013. <<http://www.csee.umbc.edu/~ian/irF02/lectures/07Models-VSM.pdf>>.
- [6] Arguello, Jaime. "Vector Space Model." *INLS 509: Information Retrieval*. UNC, 19 Sept. 2011. Web. 7 Aug. 2013. <[http://ils.unc.edu/courses/2011\\_fall/inls509\\_001/lectures/07-Vector%20Space%20Model.pdf](http://ils.unc.edu/courses/2011_fall/inls509_001/lectures/07-Vector%20Space%20Model.pdf)>.
- [7] Islam, Aminul, Evangelos Milios, and Vlado Keselj. "Text Similarity Using Google Tri-grams." *Lecture Notes in Computer Science* 7310 (2012): 312-17. Web. 7 May 2013. <[http://link.springer.com/chapter/10.1007%2F978-3-642-30353-1\\_29](http://link.springer.com/chapter/10.1007%2F978-3-642-30353-1_29)>.

Thank you for listening!

QUESTIONS?

# Slides

How Document Properties Affect Document Relatedness Measures

1

Introduction

Document Relatedness

- Measurement of relatedness
- Basic relatedness

Applications: Document Clustering

- Review of
- Clustering
- Classification
- Recommendation

Unsupervised approaches

- Lack of training and supervision
- Performance depending on corpus

2

Motivation

Performance of a document relatedness approach depends on document properties, but the document being related

3

Contributions

General contributions

- Formulation of document relatedness approach for document relatedness
- Evaluation framework for document relatedness

From experiment results:

- Document that different properties of document will be for results in different approaches

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Related Work

Unsupervised Corpus-based Approaches:

- Document relatedness
- Document relatedness
- Word-Neighborhood Approaches
- Document relatedness

Comparison of Document Relatedness Approaches:

- Comparison of unsupervised approaches for document relatedness
- Document relatedness
- Document relatedness
- Document relatedness

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Methodology

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Datasets

Document Relatedness Dataset

- Document
- Category

Document Clustering

- Document relatedness
- Document relatedness
- Document relatedness

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Datasets: ASRS

Aviation Safety Report System (ASRS)

- Free-form text
- All categories, not all categories
- Other aviation datasets

9

Datasets: Med

Vigilance Report (Med)

- Free-form text
- Free-form text
- Free-form text

10

Datasets: BHL

Biodiversity Heritage Library (BHL)

- Description: Biodiversity Heritage Library
- Free-form text
- Free-form text

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Approaches: VSM

Vector Space Model (VSM)

- Each document is represented as a vector
- Each word is represented as a vector

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Approaches: GTM

Google Trigram Model (GTM)

- Document relatedness
- Document relatedness
- Document relatedness

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Approaches: GTM

GTM-Word Similarity

- Using Google Trigram Model
- Using Google Trigram Model

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Evaluation: Setup

Between-class Document

- Document relatedness
- Document relatedness
- Document relatedness

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kNN-Classification

Dividing Document Similarity

- Document relatedness
- Document relatedness
- Document relatedness

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Limitations

Data Limitations

- Document relatedness
- Document relatedness
- Document relatedness

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Conclusions

Experiment Results:

- Document relatedness
- Document relatedness
- Document relatedness

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Future Work

Overcoming the Limitations

- Document relatedness
- Document relatedness
- Document relatedness

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# Attribute Definitions

- **Document length:**

# words in the document

- **Term frequency:**

$$\frac{\sum_{\text{each document word}} \text{frequency of that word in dataset}}{\# \text{ document words}}$$

- **Cohesiveness**

$$\frac{\sum_{\text{each document word}} \text{word similarity between word and next}}{\# \text{ document words} - 1}$$

# Results Summarization

Dataset	Limits			GTM ? VSM			Attr. Correlation	
	Min.	Max.	Int.	>	<	no diff.	GTM	VSM
<i>Word Count:</i>								
ASRS	6	302	8	36.6	41.7	21.7	Pl <b>0.662</b>	Np 0.366
Med	2	100	2	62.2	26.0	11.8	Pp 0.531	Pp <b>0.603</b>
BHL Titles	0	36	2	67.5	14.2	18.3	Pp 0.004	Pp <b>0.031</b>
BHL Intro	53	539	9	0.0	99.0	0.1	Nl 0.335	Nl <b>0.625</b>
<i>Term Frequency:</i>								
ASRS	0.04	0.36	0.01	17.5	57.3	25.2	Np <b>0.713</b>	Np 0.561
Med	0.01	0.52	0.01	68.0	23.6	8.4	Pl 0.721	Pl <b>0.931</b>
BHL Titles	0.00	1.00	0.05	63.8	30.7	5.5	Np <b>0.604</b>	Np 0.578
BHL Intro	0.03	0.21	0.01	1.0	91.0	8.0	Pp <b>0.859</b>	Pp 0.834
<i>Cohesion:</i>								
ASRS	0.15	0.30	0.01	20.8	65.3	13.9	Np <b>0.889</b>	Np 0.882
Med	0.00	0.37	0.01	74.1	17.3	8.6	Np 0.276	Np <b>0.620</b>
BHL Titles	0.00	0.45	0.01	79.5	9.3	11.2	Np <b>0.517</b>	Np 0.470
BHL Intro	0.05	0.35	0.01	0.0	99.3	0.0	Np <b>0.743</b>	Np 0.719

# Approaches: Trigram Model

## Google Trigram Model - Word

Similarity:  $\rightarrow \text{word\_sim}(w_a, w_b)$

Consider trigram frequencies w.r.t. all pair's unigram frequencies

$$= \begin{cases} \frac{\log \frac{\mu(w_a, n_1, w_b, n_2) C^2}{c(w_a) c(w_b) \min(c(w_a), c(w_b))}}{-2 \times \log \frac{\min(c(w_a), c(w_b))}{C}} & \text{if } \frac{\mu(w_a, n_1, w_b, n_2) C^2}{c(w_a) c(w_b) \min(c(w_a), c(w_b))} > 1 \\ \frac{\log 1.01}{-2 \times \log \frac{\min(c(w_a), c(w_b))}{C}} & \text{if } \frac{\mu(w_a, n_1, w_b, n_2) C^2}{c(w_a) c(w_b) \min(c(w_a), c(w_b))} \leq 1 \\ 0 & \text{if } \mu(w_a, n_1, w_b, n_2) = 0 \end{cases}$$

Maximum frequency of unigrams

Frequency of word ( $w_a$  or  $w_b$ ) in unigrams

Mean frequency of  $n_1$  trigrams that start with  $w_a$  & end with  $w_b$  and  $n_2$  trigrams that start with  $w_b$  & end with  $w_a$

the 19401194714

13281603  
38733069

42  
479  
280  
333

# Approaches: VSM

## Advantages:

- Very commonly used, works well, simple
- Weighting is based off importance in dataset
- Counts for partial matches

## Disadvantages:

- Representation suffers when #words = too long / short
- Requires a “large” dataset to calculate meaningful IDF
- Dependent on common words being present within same category

# Approaches: Trigram Model

## **Advantages:**

- Partial matching via Google n-gram word similarity
- Can simply calculate the relatedness between two documents

## **Disadvantages:**

- Dependent on Google n-gram coverage (relative to testing dataset) → Special words problem
- Requires large corpus (Google n-grams) to calculate relatedness

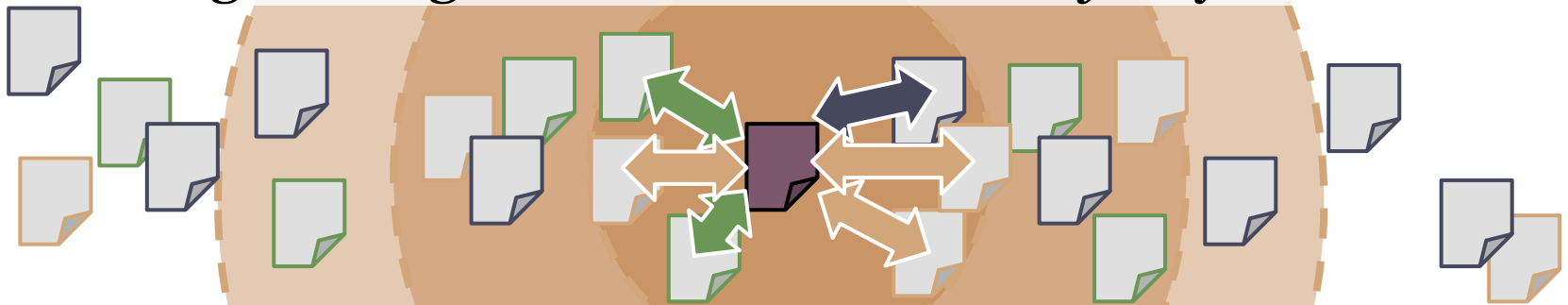


# Evaluations: kNN- Classification

## How to Calculate Accuracy:

(Executed using each partition = **testing set**; then average **10** resultant accuracies → **30**)

1. Consider different  $k$  from  $[1, \sqrt{\# \text{ testing set }}]$
2. For each document in **testing set**, assign class based on training set neighbours' *normalized* majority class



3. Calculate accuracy:

⇒  $\# \text{ correctly assigned docs} / \# \text{ testing docs}$

4. Select  $k$  where mean accuracy is highest → accuracy